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TO/92/40  
JCC973 U.S. PTO  
JC973 U.S. PRO  
09/843093

REV. 9/00  
For Other Than A Small Entity

Docket No. CF-017

Applicant(s) : Phillip M. Ginsberg

For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA

JCC973 U.S. PRO  
09/843093  
04/26/01

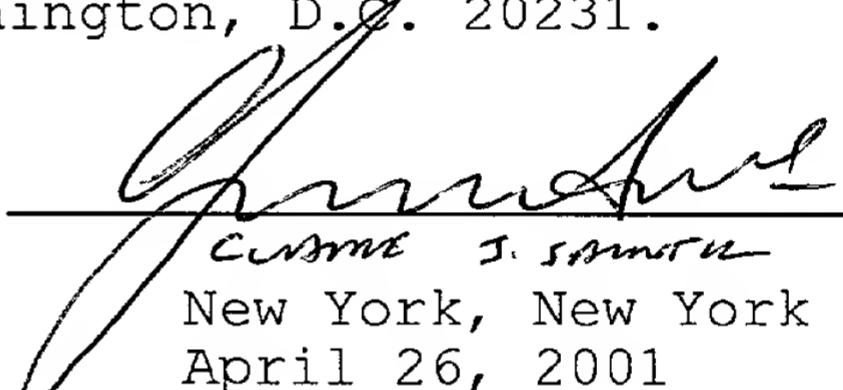
EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EI187449212US

Date of Deposit April 26, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

Hon. Commissioner for Patents  
Washington, D.C. 20231

  
Phillip M. Ginsberg  
New York, New York

April 26, 2001

TRANSMITTAL LETTER FOR  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the [X] specification; [X] claims; [X] abstract; [X] unexecuted declaration and power of attorney, for the above-identified patent application.

Also transmitted herewith are:

[X] 3 sheets of:

[ ] Formal drawings.  
[X] Informal drawings. Formal drawings will be filed during the pendency of this application.  
[ ] Certified copy(ies) of application(s)

(country)

(appln. no.)

(filed)

(country)

(appln. no.)

(filed)

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(country)

(appln. no.)

(filed)

from which priority is claimed.

[ ] An assignment of the invention to \_\_\_\_\_

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[ ] A check in the amount of \$40.00 to cover the recording fee.

[ ] Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] An associate power of attorney.

The filing fee has been calculated as shown below:

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| FOR                           | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | FEE             |
|-------------------------------|-----------------|-----------------|-----------|-----------------|
| BASIC FEE                     |                 |                 |           | \$710.00        |
| TOTAL CLAIMS                  | 14              | - 20 = 0        | X \$ 18 = | \$ 0.00         |
| INDEPENDENT<br>CLAIMS         | 3               | - 3 = 0         | X \$ 80 = | \$ 0.00         |
| [ ] MULTIPLE DEPENDENT CLAIMS |                 |                 | + \$270 = | \$ 0.00         |
|                               |                 |                 | TOTAL     | <u>\$710.00</u> |

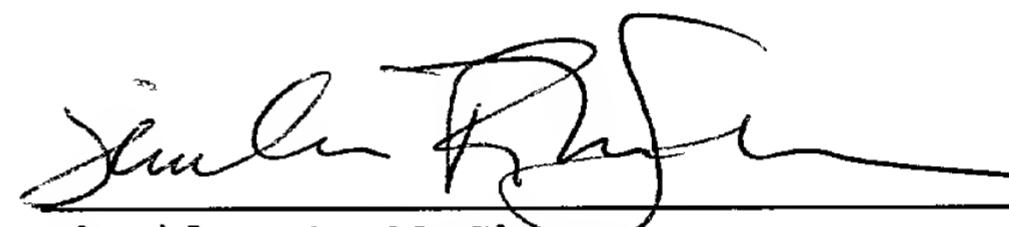
[X] A check in the amount of \$710.00 in payment of the filing fee is transmitted herewith.

[ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Amend the specification by inserting before the first line the sentence: -- This is a [ ] continuation-in-part, of application Serial No.: \_\_\_\_\_, filed \_\_\_\_\_ entitled \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



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PATENTS  
Attorney Docket No. CF-017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s) : Phillip M. Ginsberg  
Filed : Herewith  
For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA

New York, New York 10020  
April 26, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

NONPUBLICATION REQUEST  
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicant(s) hereby request(s) that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,



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